

April 19

Announcements

– Quiz 2 today

## Recap

Let  $K \subset L$  be a field extension.

### DEF:

① We say  $K \subset L$  is finite  
if  $|L:K|$  is finite

*(equiv,  $L$  finite dim  $K$ -vector space)*

② We say  $K \subset L$  is algebraic  
if every  $\alpha \in L$  is algebraic over  $K$

③ We say  $K \subset L$  is transcendental  
if not algebraic

Prop:  $K \subset L$  finite field extension

$\implies K \subset L$  algebraic

Proof: Pick  $\alpha \in L$

Consider  $1, \alpha, \alpha^2, \dots,$

Once we take  $n \geq |L:K|$

$1, \alpha, \alpha^2, \dots, \alpha^n$  are lin. dependent  
 *$n+1$  elements*

Pick  $k$  minimal such that

$1, \alpha, \dots, \alpha^k$  are lin. dep.

Therefore,  $\exists c_0, \dots, c_k \in K$

not all zero such that

$$c_0 \cdot 1 + c_1 \alpha + \dots + c_k \alpha^k = 0$$

Then define

$$f(x) = c_0 + c_1 x + \dots + c_k x^k$$

S.  $f(\alpha) = 0 \implies \alpha$  is algebraic

Remark: Can arrange  $c_k = 1$ !

Cor: Picking  $k$  minimal such that

$1, \alpha, \dots, \alpha^k$  are lin. dep. via

$$c_0 + c_1 \alpha + \dots + c_k \alpha^k \text{ with } c_k = 1,$$

the  $f(x) = c_0 + c_1 x + \dots + x^k$  is  
the min poly of  $\alpha$ .

Cor: Picking  $k$  minimal such that  
 $1, \alpha, \dots, \alpha^k$  are lin dep via  
 $c_0 + c_1 \alpha + \dots + c_k \alpha^k$  with  $c_k = 1$ ,  
the  $f(x) = c_0 + c_1 x + \dots + x^k$  is  
the min poly of  $\alpha$ .

PP: To show  $f$  is irreducible,  
suppose  $f = f_1 f_2$  with  $\deg f_1, \deg f_2 < k$

Then  $\alpha$  is a root of  $f_1$  or  $f_2$

Let's assume  $f_1(\alpha) = 0$ .

Write  $f_1 = x^j + d_{j-1} x^{j-1} + \dots + d_0$

Know  $j < k$  &

$$f_1(\alpha) = \alpha^j + d_{j-1} \alpha^{j-1} + \dots + d_0 = 0$$

$\Rightarrow 1, \alpha, \dots, \alpha^j$  are lin dep.

contradicting minimality of  $k$

alg. finite

trans

## Summary, finite $\Rightarrow$ algebraic

Ex:

①  $\mathbb{R} \subset \mathbb{C}$  : finite & algebraic

②  $\mathbb{Q} \subset \mathbb{C}$  : transcendental

③  $\mathbb{Q} \subset \mathbb{Q}(\sqrt{2}, \sqrt[3]{2}, \sqrt[4]{2}, \dots)$

Is it algebraic? Yes!

Surely,  $\sqrt{2}, \sqrt[3]{2}, \sqrt[4]{2}, \dots$  are all algebraic

Is it finite? No!

④  $\mathbb{Q} \subset \bar{\mathbb{Q}} = \{ \alpha \in \mathbb{C} \text{ algebraic over } \mathbb{Q} \}$   
algebraic  
not finite

⑤  $\mathbb{C} \subset \mathbb{C}(x) := \left\{ \frac{f(x)}{g(x)} \mid f, g \in \mathbb{C}[x], g \neq 0 \right\}$

$x \in \mathbb{C}(x)$  transcendental

(can't happen  $x^k + c_{k-1} x^{k-1} + \dots + c_0 = 0$ )

View " $x$ " as a formal variable  $c_i \in \mathbb{C}$

Keep in mind, if  $K \subset L$  field ext  
and  $a_1, \dots, a_n \in L$ , then

$K(a_1, \dots, a_n) \subset L$  denotes the  
smallest field ext of  $K$  containing  
 $a_1, \dots, a_n$ .

Ex:  $\mathbb{Q} \subset \mathbb{C}$ ,  $\pi \in \mathbb{C}$   
 $\mathbb{Q}(\pi) \subset \mathbb{C}$  field exten

②  $x$  formal variable  
 $\mathbb{Q}(x) = \left\{ \frac{f(x)}{g(x)} \mid \begin{array}{l} f, g \in \mathbb{Q}[x] \\ g \neq 0 \end{array} \right\}$

FACT:  $\mathbb{Q}(\pi) \cong \mathbb{Q}(x)$

More generally, we have

Ex 3:  $K \subset K(x, y) = \left\{ \frac{f(x, y)}{g(x, y)} \mid \dots \right\}$

Prop: Let  $K \subset L$  field ext.  
Let  $a \in L$  be an element

Then either

(a)  $a \in L$  algebraic over  $K$  in  
which case  $K(a)$  finite over  $K$

(b)  $a \in L$  transcendental over  $K$   
&  $K(a) \cong K(x)$

Proof: For  $a \in L$ , define  
 $K[x] \xrightarrow{\phi} L$  ring hom

$f(x) \mapsto f(a)$

(image of basis  $\{1, x, x^2, \dots\}$   
is  $1, a, a^2, a^3, \dots \in L$ )

$a$  algebraic  $\Leftrightarrow \exists f(x) \neq 0$   $f(a) = 0$   
 $\Leftrightarrow \ker(\phi) \neq 0$

Prop: Let  $K \subset L$  field ext.  
 Let  $\alpha \in L$  be an element  
 Then either  
 (a)  $\alpha \in L$  algebraic over  $K$  in  
 which case  $K(\alpha)$  finite over  $K$   
 (b)  $\alpha \in L$  transcendental over  $K$   
 $\& K(\alpha) \cong K(x)$

Proof: For  $\alpha \in L$ , define  
 $K[x] \xrightarrow{\phi} L$  ring hom  
 $f(x) \mapsto f(\alpha)$   
 (image of basis  $\{1, x, x^2, \dots\}$   
 is  $\{1, \alpha, \alpha^2, \alpha^3, \dots\} \subset L$ )  
 $\alpha$  algebraic  $\iff \exists f(x) \neq 0$  s.t.  $f(\alpha) = 0$   
 $\iff \ker(\phi) \neq 0$

$\alpha$  algebraic  $\implies \phi$  induces  
 an isomorphism  
 $K[x]/\ker(\phi) \xrightarrow{\cong} \text{im}(\phi)$   
 $\parallel$   $\parallel$   
 $K[x]/(f) \xrightarrow{\cong} K(\alpha)$   
 where  $f$  min poly

If  $\alpha$  is not algebraic, then  
 $\phi: K[x] \hookrightarrow L$  injective  
 $\implies$  induces an injection

$K(x) \hookrightarrow L$   
 $\frac{f}{g} \mapsto \frac{f(\alpha)}{g(\alpha)}$   
 $x \mapsto \alpha$

$\forall g \neq 0, \phi(g) \neq 0$  so  
 makes sense

induces an isom  
 $K(x) \xrightarrow{\phi} K(\alpha) \subset L$

Def: Say  $K \subset L$  is a finitely generated field extension if

$\exists \alpha_1, \dots, \alpha_n \in L$  such that

$$L = K(\alpha_1, \dots, \alpha_n)$$

Ex:  $\mathbb{C} \subset \mathbb{C}(x, y)$  fin. gen  
(but not finite)

Prop: For a field ext  $K \subset L$

$K \subset L$  finite  $\iff K \subset L$  algebraic & fin. generated

Ex:  $\mathbb{Q} \subset \mathbb{Q}(\sqrt{2})$  (not  $\mathbb{Z}$ )  
not fin. generated

$\mathbb{Q} \subset \bar{\mathbb{Q}}$  not fin. generated

PF: Let's skip it.

Prop: Let  $K \subset L$  be a field ext.

Then if  $\alpha, \beta \in L$  are algebraic over  $K$   
so are  $\alpha\beta, \alpha+\beta, \alpha-\beta, \alpha/\beta$ .

Ex:  $\sqrt{2}, \sqrt{3}$  alg/ $\mathbb{Q} \implies \sqrt{2}+\sqrt{3}$  alg.

PF: Consider

$$K \subset K(\alpha) \subset K(\alpha, \beta) \subset L$$

Last time:

$$|K(\alpha, \beta) : K| = \underbrace{|K(\alpha, \beta) : K(\alpha)|}_{\substack{\text{finite b/c} \\ \beta \text{ alg}/K \\ \implies \beta \text{ alg}/K(\alpha)}} \cdot \underbrace{|K(\alpha) : K|}_{\substack{\text{finite b/c} \\ \alpha \text{ alg}}}$$

So  $K(\alpha, \beta)$  finite over  $K$

$$K \subset K(\alpha+\beta) \subset K(\alpha, \beta)$$

$$K(\alpha, \beta)$$

$\vdots$

Prop: Let  $K \subset L$  be a field ext.

Then if  $\alpha, \beta \in L$  are algebraic over  $K$   
so are  $\alpha\beta, \alpha+\beta, \alpha-\beta, \alpha/\beta$ .

Ex:  $\sqrt{2}, \sqrt{3}$  alg/ $\mathbb{Q}$   $\Rightarrow \sqrt{2}+\sqrt{3}$  alg.

PF: Consider

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So  $K(\alpha, \beta)$  finite over  $K$

$$K \subset K(\alpha+\beta) \subset K(\alpha, \beta)$$

$\vdots$

$\Rightarrow |K(\alpha+\beta) : K|$  finite

$\Rightarrow \alpha+\beta$  algebraic

Same for  $\alpha-\beta, \alpha\beta, \alpha/\beta$ .

Cor: Given  $K \subset L$  field ext,

then the subset

$$F = \{ \alpha \in L \mid \alpha \text{ algebraic over } K \}$$

$\subset L$   
is a field extension of  $K$ .

$$(K \subset F \subset L)$$